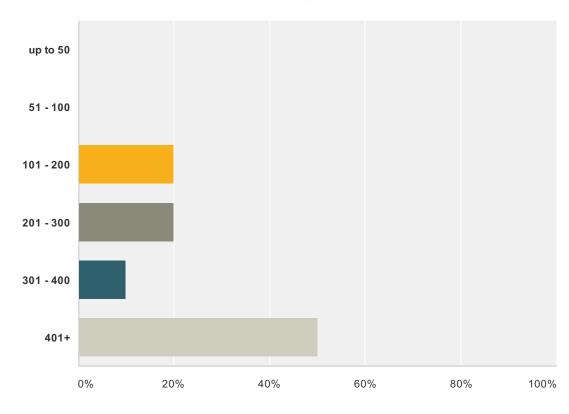
Q1 Name of institution

Answered: 9 Skipped: 1

Q2 How many staff does your institution employ?

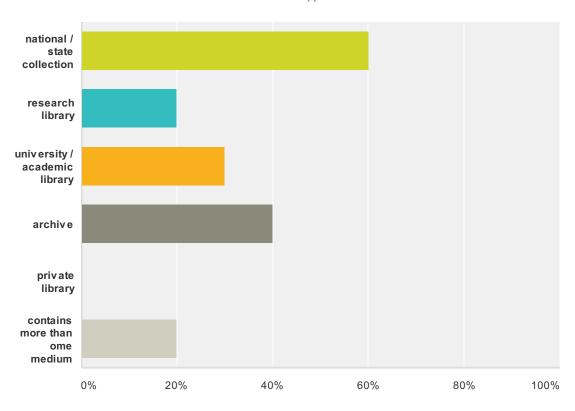




Answer Choices	Responses
up to 50	0% 0
51 - 100	0% 0
101 - 200	20% 2
201 - 300	20% 2
301 - 400	10% 1
401+	50% 5
Total	10

Q3 Your institution is best described as a:

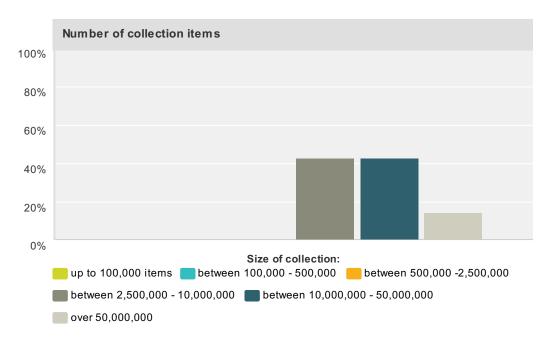


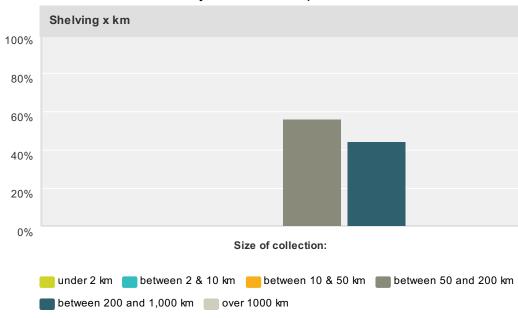


Answer Choices	Responses	
national / state collection	60%	6
research library	20%	2
university / academic library	30%	3
archive	40%	4
private library	0%	0
contains more than ome medium	20%	2
Total Respondents: 10		

Q4 Indicate the approximate size of your collection by either stating how many items you have, or how many kilometres of shelving you have

Answered: 10 Skipped: 0





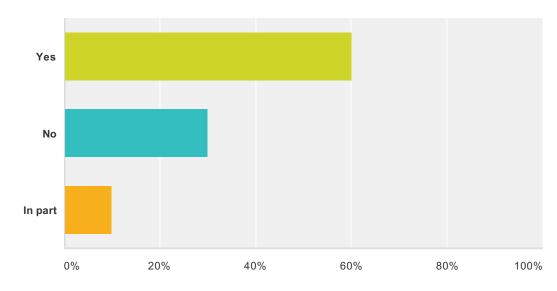
Number of collection items							
	up to 100,000 items	between 100,000 - 500,000	between 500,000 - 2,500,000	between 2,500,000 - 10,000,000	between 10,000,000 - 50,000,000	over 50,000,000	Total
Size of collection:	0% 0	0% 0	0%	42.86	42.86% 3	14.29%	7
Shelving x km							
	under 2 km between 2 & 10 km between 10 & 50 km between 50 and 200 km between 200 and 1,000 km over 1000 km Tota				Total		
Size of collection	: 0%	0% 0	0% 0	55.56% 5	44.44% 4	0% 0	9

Q5 Your job title

Answered: 9 Skipped: 1

Q6 Your mission statement expresses a committment to ensuring the security of your collection

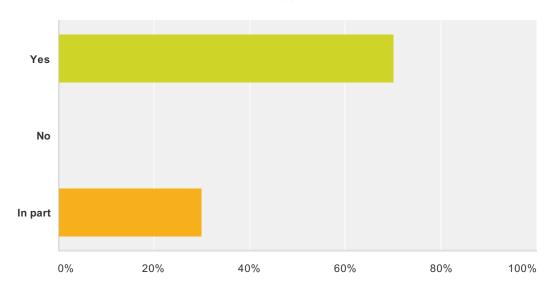
Answered: 10 Skipped: 0



Answer Choices	Responses
Yes	60% 6
No	30% 3
In part	10%
Total Respondents: 10	

Q7 Your most senior member of staff (e.g. Director, Chief Executive) has final accountability for the security of your collection

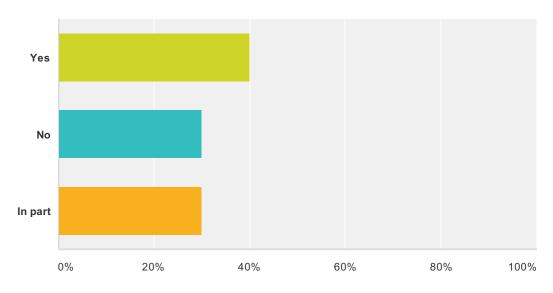
Answered: 10 Skipped: 0



Answer Choices	Responses
Yes	70% 7
No	0% 0
In part	30% 3
Total	10

Q8 An annual report on the security of your collection is presented to your governing body

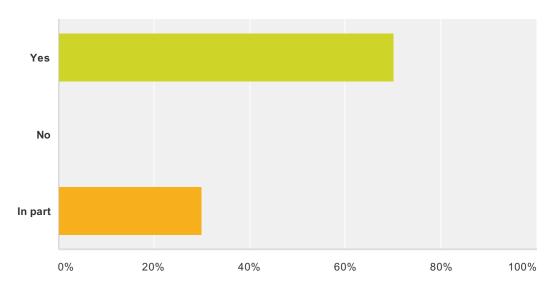
Answered: 10 Skipped: 0



Answer Choices	Responses
Yes	40% 4
No	30% 3
In part	30% 3
Total	10

Q9 You have a Collection Security Policy (or set of policies) that sets out how you protect your collection

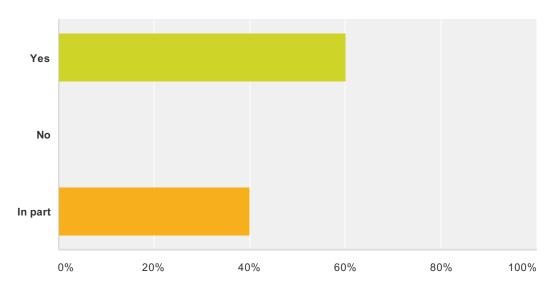
Answered: 10 Skipped: 0



Answer Choices	Responses
Yes	70% 7
No	0%
In part	30% 3
Total	10

Q10 You have an agreed set of measures to provide assurance on the security of your collection and to assess compliance with your Collection Security Policy

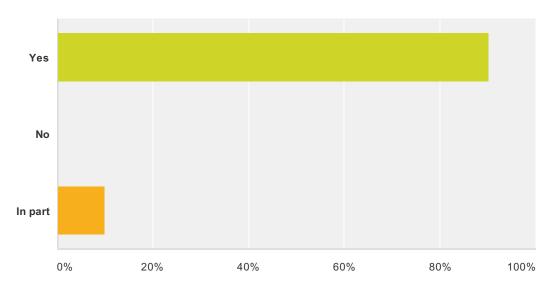




Answer Choices	Responses
Yes	60% 6
No	0% 0
In part	40% 4
Total	10

Q11 Staff with authority for policies relating to the collection, including its management, storage, use, exhibition, conservation and transport, have input into the Collection Security Policy

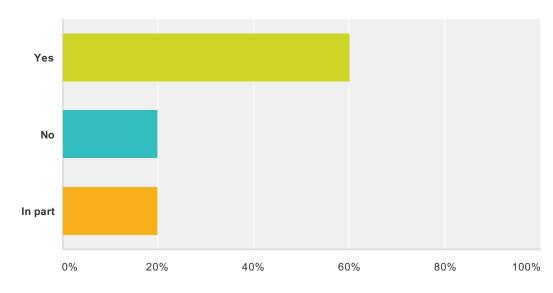




Answer Choices	Responses
Yes	90% 9
No	0%
In part	10% 1
Total	10

Q12 Your policies and written procedures, including any sanctions you apply, are legally compliant and enforceable

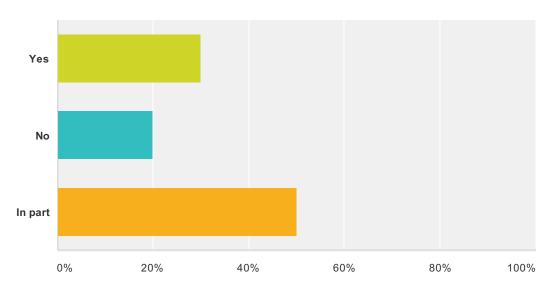




Answer Choices	Responses
Yes	60% 6
No	20% 2
In part	20% 2
Total	10

Q13 You have an agreed written procedure for investigating, handling and documenting missing collection items

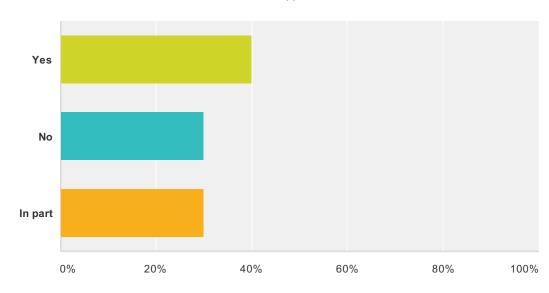
Answered: 10 Skipped: 0



Answer Choices	Responses
Yes	30% 3
No	20% 2
In part	50% 5
Total	10

Q14 You have an agreed written procedure that details how you respond to instances of theft and mutilation / vandalism

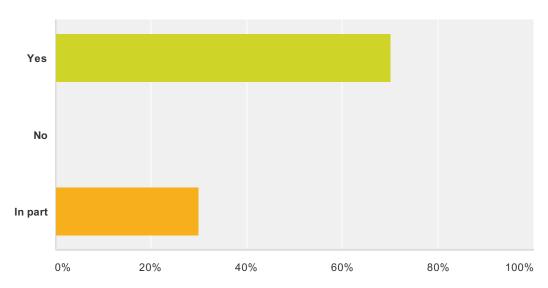
Answered: 10 Skipped: 0



Answer Choices	Responses
Yes	40% 4
No	30% 3
In part	30% 3
Total	10

Q15 You report proven instances of theft immediately to the police

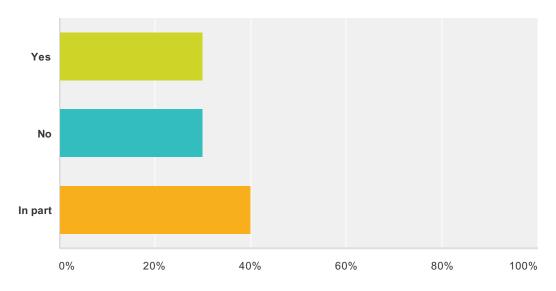




Answer Choices	Responses
Yes	70% 7
No	0% 0
In part	30% 3
Total	10

Q16 You report proven instances of theft to the relevant trade / professional bodies e.g. the book trade

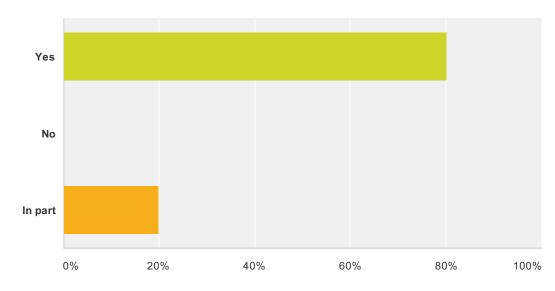




Answer Choices	Responses
Yes	30% 3
No	30% 3
In part	40% 4
Total	10

Q17 You share information on known threats to collection security with other libraries/archives when appropriate

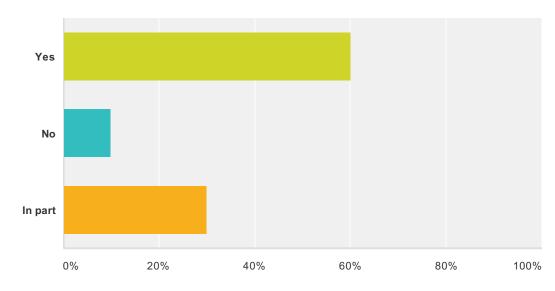
Answered: 10 Skipped: 0



Answer Choices	Responses
Yes	80% 8
No	0% 0
In part	20% 2
Total	10

Q18 You have procedures in place for crisis management, including crisis communication

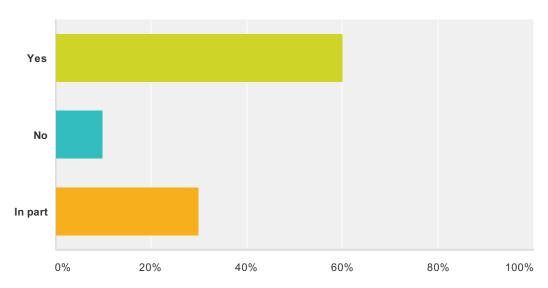
Answered: 10 Skipped: 0



Answer Choices	Responses
Yes	60% 6
No	10% 1
In part	30% 3
Total	10

Q19 Your collection security policies and procedures are kept under regular review

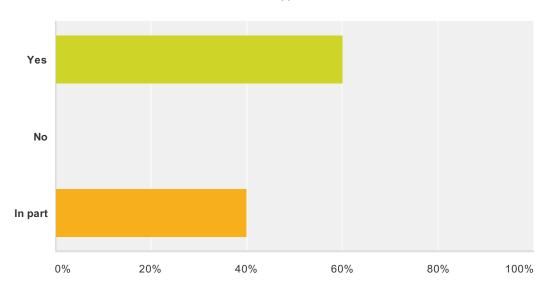




Answer Choices	Responses
Yes	60% 6
No	10% 1
In part	30% 3
Total	10

Q20 Collection security is taken into consideration in all collection management procedures

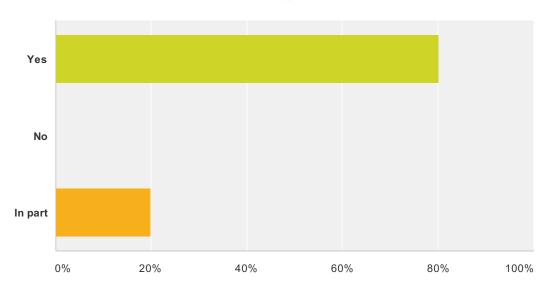




Answer Choices	Responses
Yes	60% 6
No	0% 0
In part	40% 4
Total	10

Q21 You maintain catalogue records for your collection

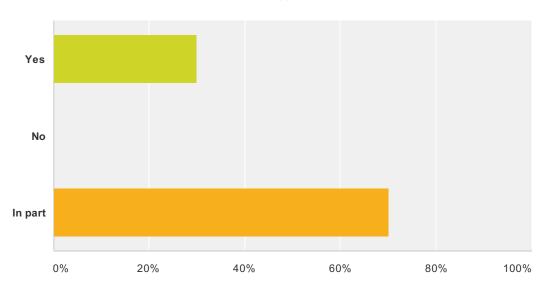




Answer Choices	Responses
Yes	80% 8
No	0% 0
In part	20% 2
Total	10

Q22 You include copy specific information in the catalogue records

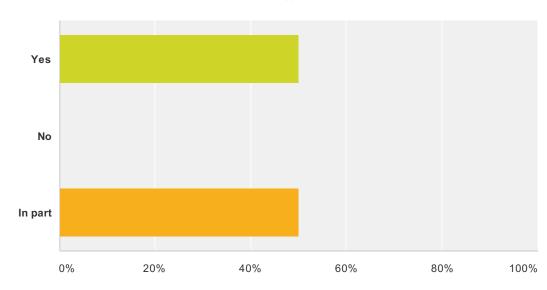




Answer Choices	Responses
Yes	30% 3
No	0% 0
In part	70% 7
Total	10

Q23 You maintain and retain accession records

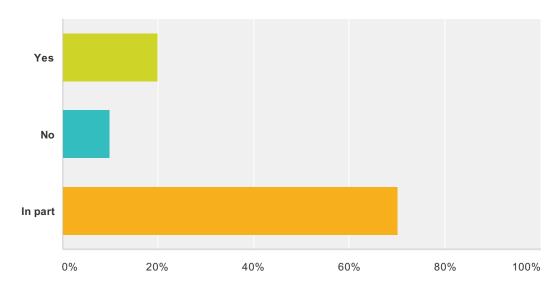




Answer Choices	Responses
Yes	50% 5
No	0%
In part	50% 5
Total	10

Q24 You maintain information on distinguishing features in your collection (i.e. bindings, ownership stamps etc)

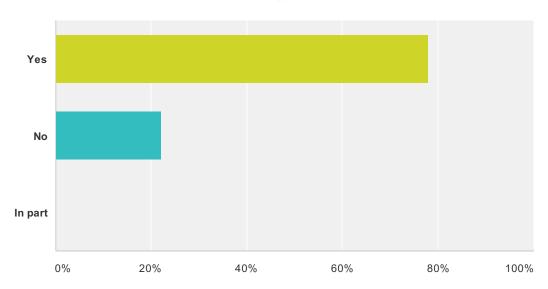
Answered: 10 Skipped: 0



Answer Choices	Responses
Yes	20% 2
No	10% 1
In part	70% 7
Total	10

Q25 You place ownership marks in your collection items

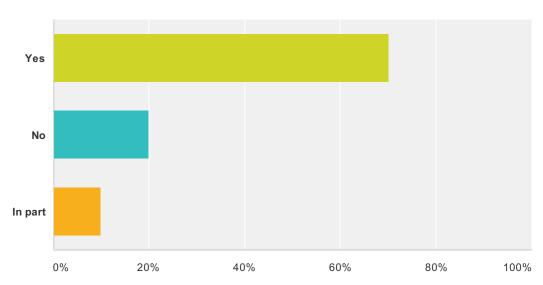




Answer Choices	Responses
Yes	77.78% 7
No	22.22% 2
In part	0% 0
Total	9

Q26 You place shelf mark labels on your collection items where appropriate

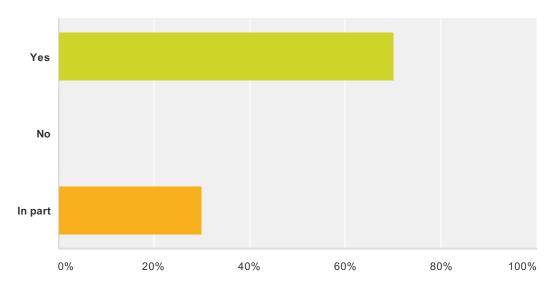




Answer Choices	Responses
Yes	70% 7
No	20% 2
In part	10%
Total	10

Q27 You assess the security requirements of collection items, so that highly valuable/vulnerable items are identified and given increased protection

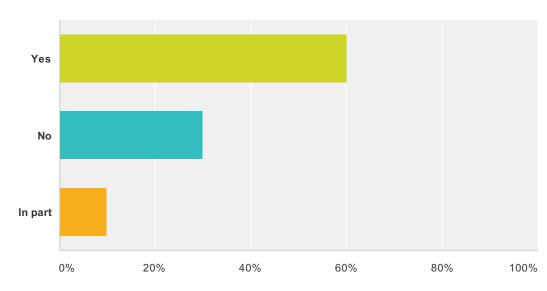




Answer Choices	Responses
Yes	70% 7
No	0% 0
In part	30% 3
Total	10

Q28 You have an agreed and documented disposals policy: disposed items have a clear cancellation stamp in them

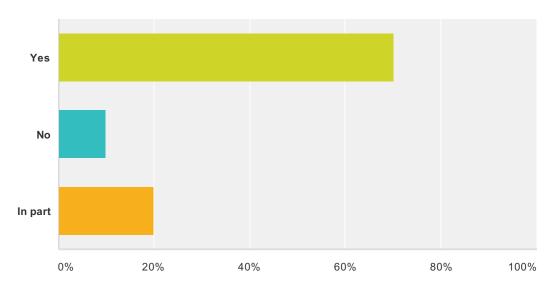




Answer Choices	Responses
Yes	60% 6
No	30% 3
In part	10%
Total	10

Q29 You have measures in place to prevent unauthorised changes to your accession and catalogue records

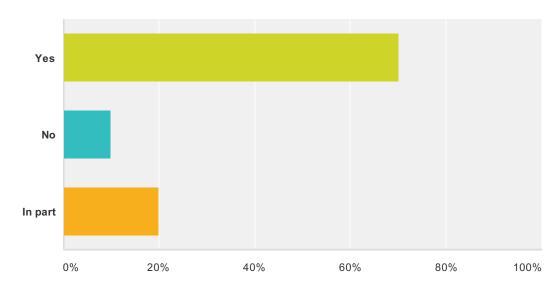
Answered: 10 Skipped: 0



Answer Choices	Responses
Yes	70% 7
No	10% 1
In part	20% 2
Total	10

Q30 Once surrogates (digital or microform) exist, Readers must use these, not the original collection item, unless there is an approved research reason to do so.

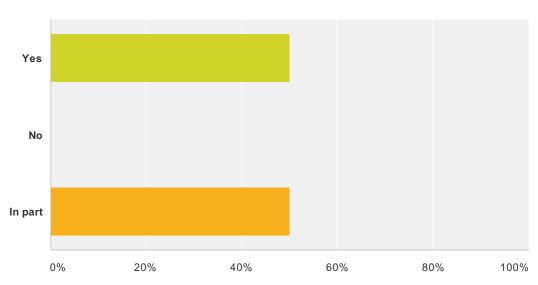




Answer Choices	Responses
Yes	70% 7
No	10% 1
In part	20% 2
Total	10

Q31 You audit / check your collection in the stacks to provide assurance on its security

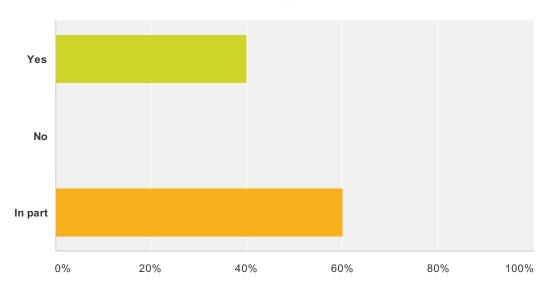




Answer Choices	Responses
Yes	50% 5
No	0% 0
In part	50% 5
Total	10

Q32 You make and retain copies of high value items

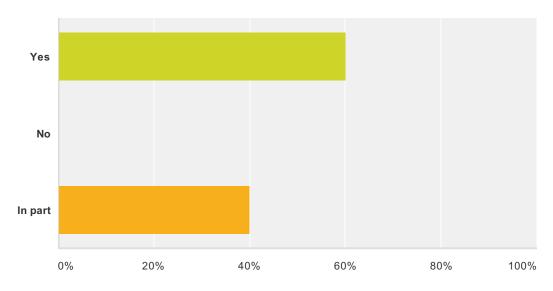




Answer Choices	Responses
Yes	40% 4
No	0% 0
In part	60% 6
Total	10

Q33 Once a copy of a high value item has been made, the copy is used to produce further copies when needed

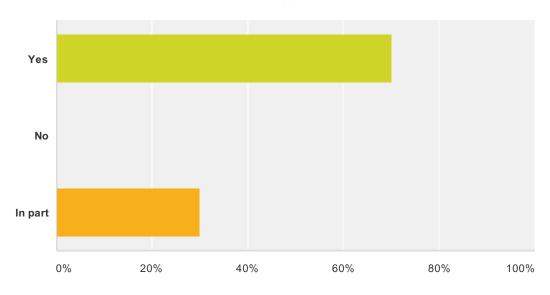




Answer Choices	Responses
Yes	60% 6
No	0%
In part	40% 4
Total	10

Q34 Once surrogates (digital or microform) exist, Readers must use these, not the original collection item, unless there is an approved research reason to do so.

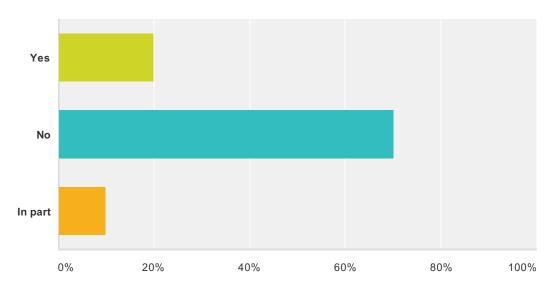




Answer Choices	Responses
Yes	70% 7
No	0% 0
In part	30% 3
Total	10

Q35 Your collection is insured

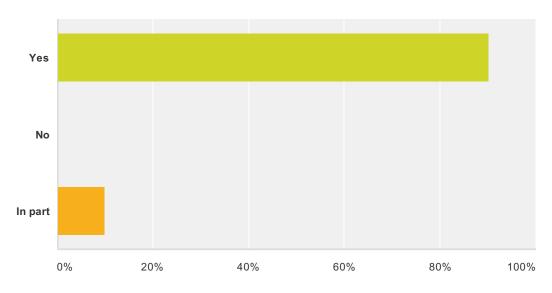
Answered: 10 Skipped: 0



Answer Choices	Responses
Yes	20% 2
No	70% 7
In part	10%
Total	10

Q36 Readers may only access your collection if they undertake to comply with your Reading Room rules and regulations

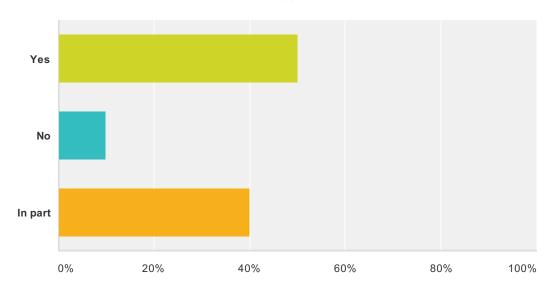




Answer Choices	Responses
Yes	90% 9
No	0% 0
In part	10% 1
Total	10

Q37 Readers are required to provide documentary proof of their identity and address, and have their photograph taken, before using your collection

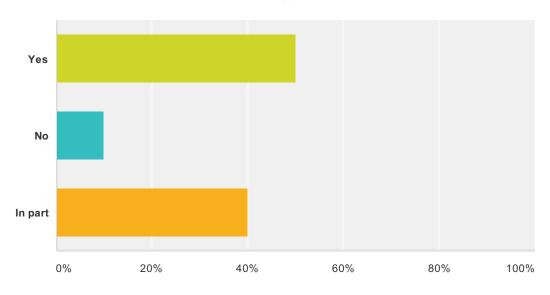




Answer Choices	Responses
Yes	50% 5
No	10 % 1
In part	40% 4
Total	10

Q38 You permanently retain information on the name, address and (where appropriate) institutional affiliation of every Reader, and a photograph of every Reader

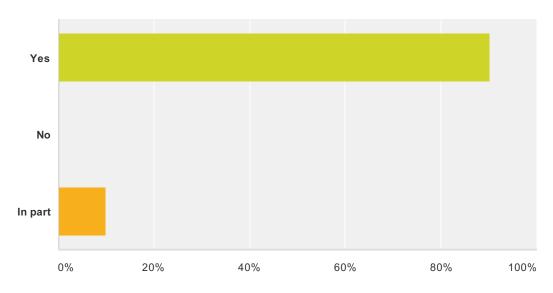




Answer Choices	Responses
Yes	50% 5
No	10% 1
In part	40% 4
Total	10

Q39 Readers are not permitted to bring coats or large bags into your Reading Room, nor any object that might harm the collection (knives, blades, food, drink)

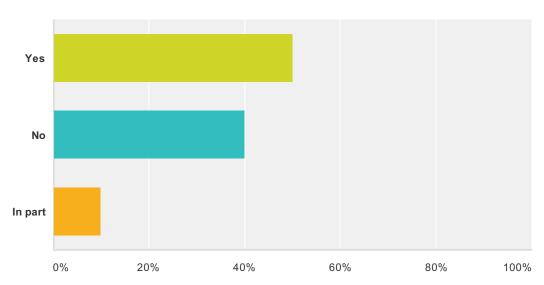




Answer Choices	Responses
Yes	90% 9
No	0%
In part	10% 1
Total	10

Q40 Readers' belongings are searched as they exit the Reading Room

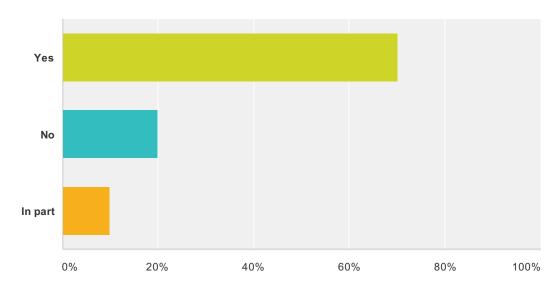




Answer Choices	Responses
Yes	50% 5
No	40% 4
In part	10% 1
Total	10

Q41 You have agreed procedures for the use of uncatalogued items: this includes close invigilation by staff

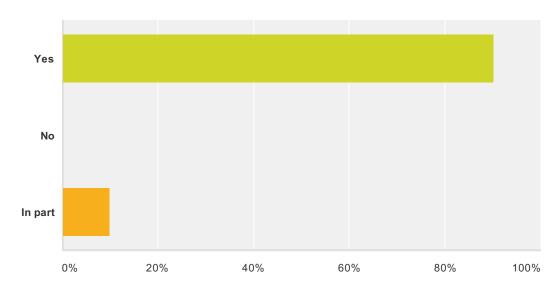




Answer Choices	Responses
Yes	70% 7
No	20% 2
In part	10%
Total	10

Q42 Readers' use of highly valuable/vulnerable items is invigilated by staff

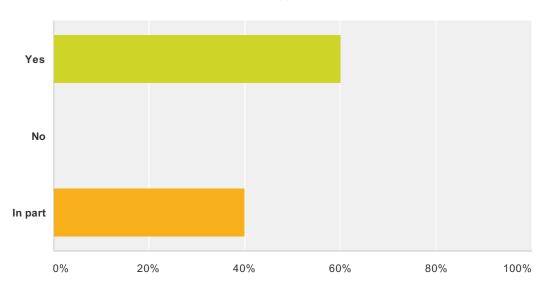
Answered: 10 Skipped: 0



Answer Choices	Responses
Yes	90% 9
No	0% 0
In part	10% 1
Total	10

Q43 You limit the number of items that may be seen at any one time by Readers

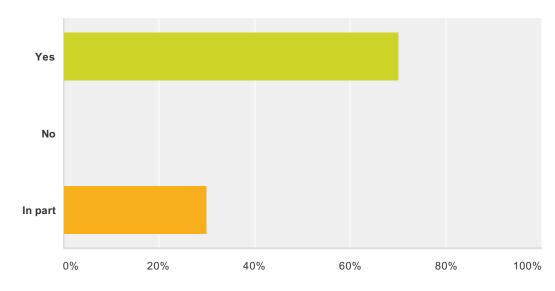




Answer Choices	Responses
Yes	60% 6
No	0% 0
In part	40% 4
Total	10

Q44 Highly valuable/vulnerable collection items are inspected by staff before and after use

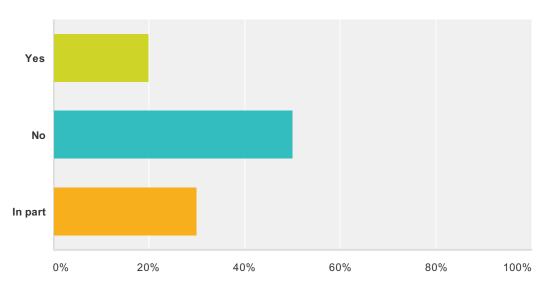
Answered: 10 Skipped: 0



Answer Choices	Responses
Yes	70% 7
No	0% 0
In part	30% 3
Total	10

Q45 Highly valuable / vulnerable items are weighed before and after use

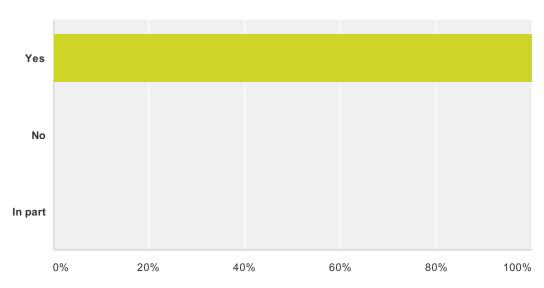




Answer Choices	Responses
Yes	20% 2
No	50% 5
In part	30% 3
Total	10

Q46 You have procedures in place for the use of material with restricted access

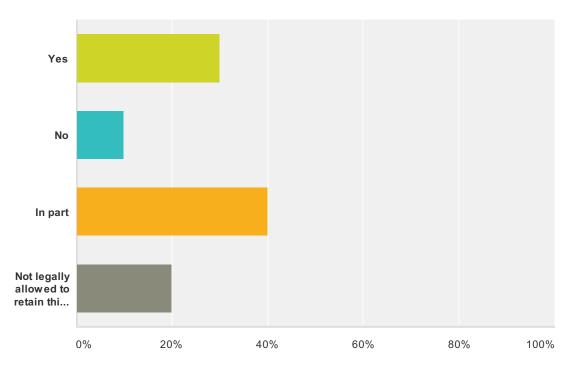




Answer Choices	Responses
Yes	100% 10
No	0% 0
In part	0%
Total	10

Q47 If legally permitted to do so, you permanently retain a record of collection use allowing you to identify the items used by each Reader, and to list all users of a particular item.

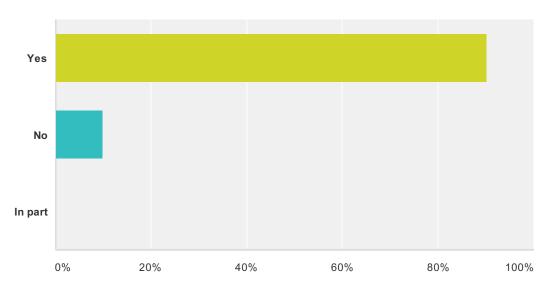




Answer Choices	Responses	
Yes	30%	3
No	10%	1
In part	40%	4
Not legally allowed to retain this information	20%	2
Total	1	0

Q48 Readers are not permitted access to collection storage areas

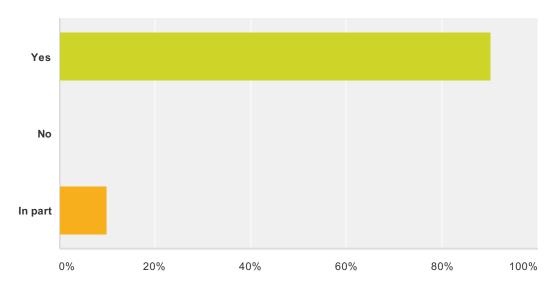




Answer Choices	Responses
Yes	90% 9
No	10% 1
In part	0% 0
Total	10

Q49 Readers are not permitted access to departments where collection items are processed (for example conservation or reprographic departments)

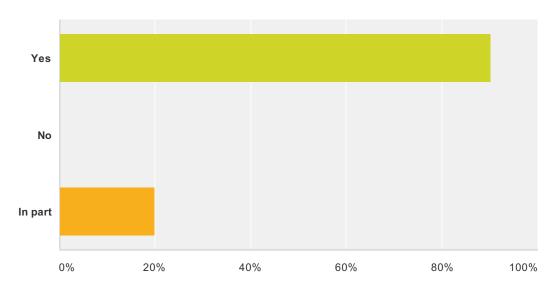




Answer Choices	Responses
Yes	90% 9
No	0% 0
In part	10% 1
Total	10

Q50 Staff must be authorised to access collection storage areas

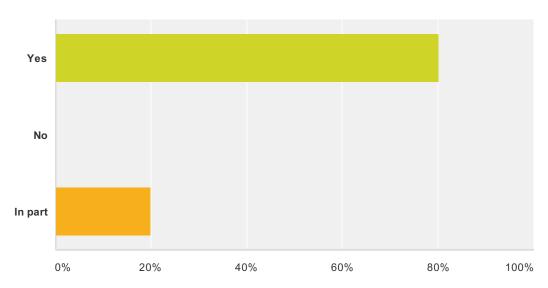




Answer Choices	Responses
Yes	90% 9
No	0%
In part	20% 2
Total Respondents: 10	

Q51 Staff access to collection storage areas is restricted on the basis of need

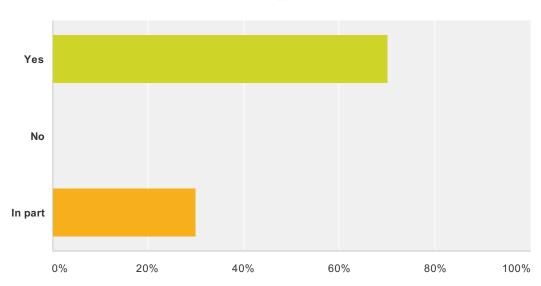




Answer Choices	Responses
Yes	80% 8
No	0% 0
In part	20% 2
Total	10

Q52 Do you revise/audit authorized access to storage areas after a period of time

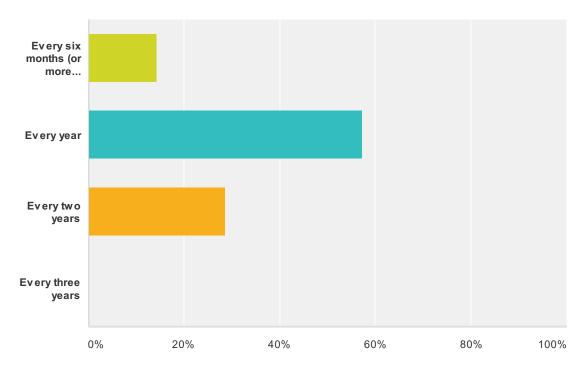




Answer Choices	Responses
Yes	70% 7
No	0% 0
In part	30% 3
Total	10

Q53 Staff access to collection storage areas is reviewd:

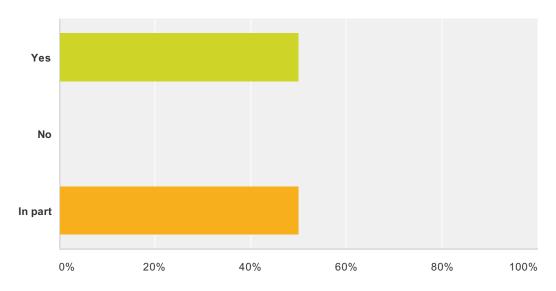
Answered: 7 Skipped: 3



Answer Choices	Responses	
Every six months (or more frequently)	14.29%	1
Every year	57.14%	4
Every two years	28.57%	2
Every three years	0%	0
Total		7

Q54 Any person (e.g. contractors) who has not been fully security cleared, and who needs to access collection storage areas, is escorted at all times by a member of staff

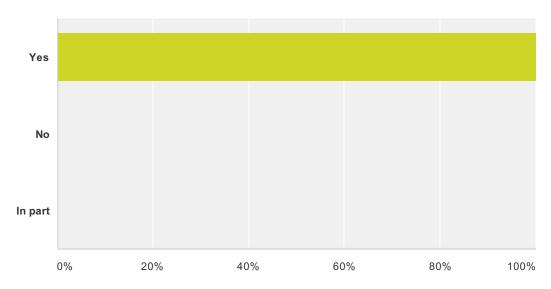




Answer Choices	Responses
Yes	50% 5
No	0% 0
In part	50% 5
Total	10

Q55 The number of staff able to access Strong Rooms is strictly controlled

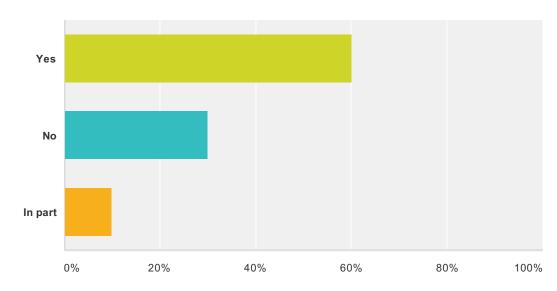




Answer Choices	Responses
Yes	100% 10
No	0% 0
In part	0% 0
Total	10

Q56 You have an agreed policy on the maximum time staff that staff may retain collection items

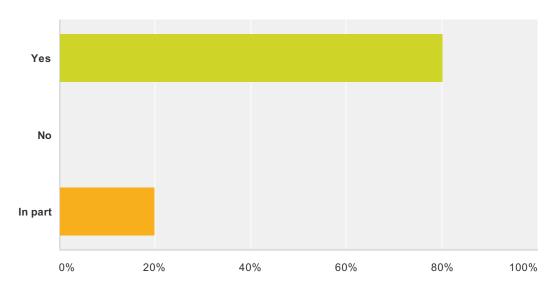
Answered: 10 Skipped: 0



Answer Choices	Responses
Yes	60% 6
No	30% 3
In part	10% 1
Total	10

Q57 Staff must return items to storage if they are needed by a user

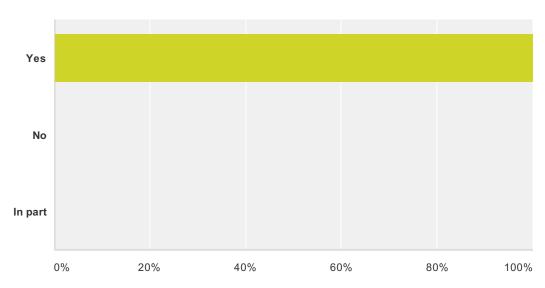




Answer Choices	Responses
Yes	80% 8
No	0% 0
In part	20% 2
Total	10

Q58 All points of access to your building(s) can be secured

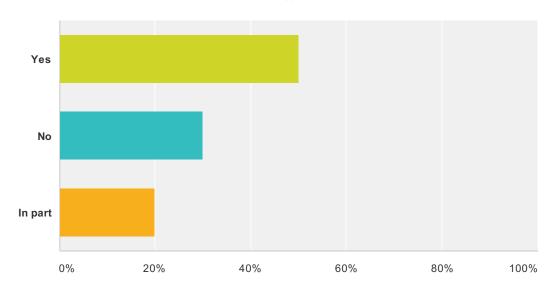




Answer Choices	Responses
Yes	100% 10
No	0% 0
In part	0% 0
Total	10

Q59 You have a security presence in your building 24/7

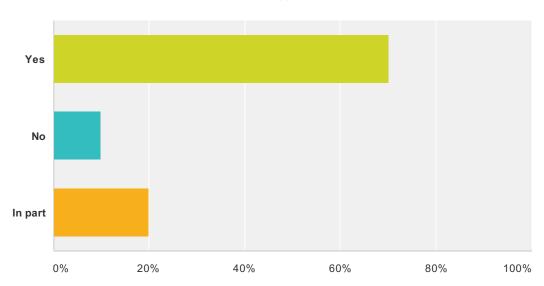




Answer Choices	Responses
Yes	50% 5
No	30% 3
In part	20% 2
Total	10

Q60 Your security staff comply with nationally recognised professional codes

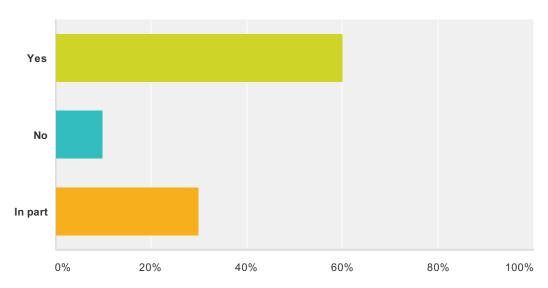




Answer Choices	Responses
Yes	70% 7
No	10% 1
In part	20% 2
Total	10

Q61 Response to the activation of the alarm system is within 2 - 5 minutes

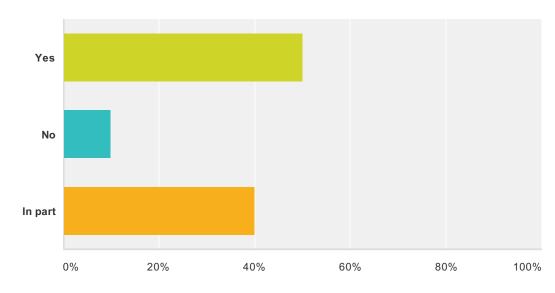




Answer Choices	Responses
Yes	60% 6
No	10% 1
In part	30% 3
Total	10

Q62 There is CCTV coverage of the Reading Room areas where highly valuable/vulnerable items are used

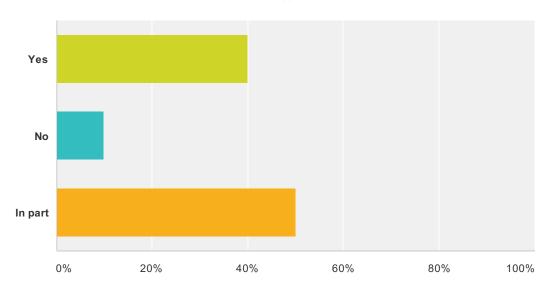
Answered: 10 Skipped: 0



Answer Choices	Responses
Yes	50% 5
No	10% 1
In part	40% 4
Total	10

Q63 There is CCTV coverage of entrance doors and logistical routes

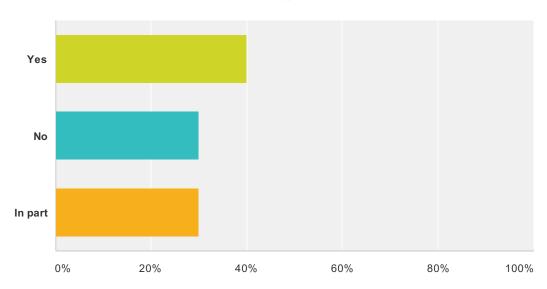




Answer Choices	Responses
Yes	40% 4
No	10% 1
In part	50% 5
Total	10

Q64 CCTV footage is retained for a minimum of one month

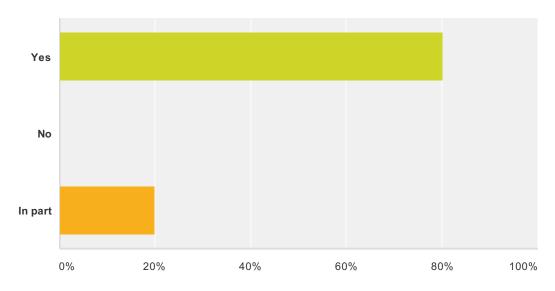
Answered: 10 Skipped: 0



Answer Choices	Responses
Yes	40% 4
No	30% 3
In part	30% 3
Total	10

Q65 There is an effective security barrier between the public parts of your building (s) and staff offices/storage areas, to prevent public access to staff areas

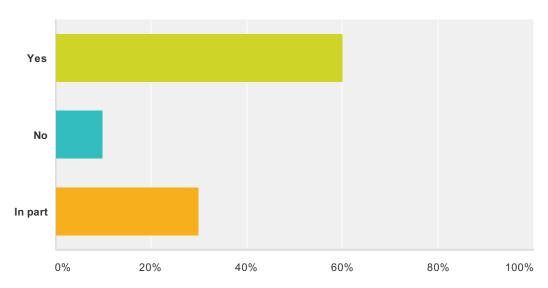




Answer Choices	Responses
Yes	80% 8
No	0% 0
In part	20% 2
Total	10

Q66 Security staff are consulted prior to any building alterations

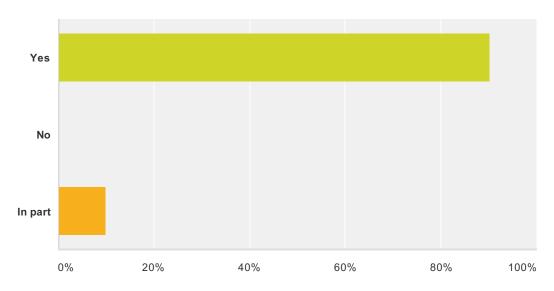




Answer Choices	Responses
Yes	60% 6
No	10% 1
In part	30% 3
Total	10

Q67 Access to keys is strictly controlled

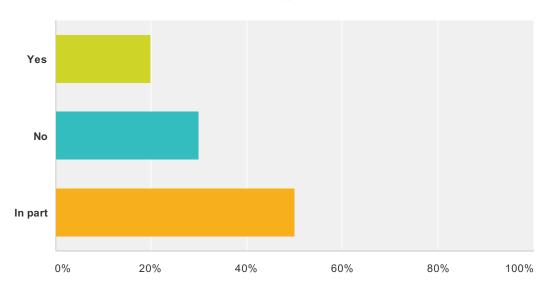
Answered: 10 Skipped: 0



Answer Choices	Responses
Yes	90% 9
No	0% 0
In part	10% 1
Total	10

Q68 All keys are returned to a central, secure location at the end of the day

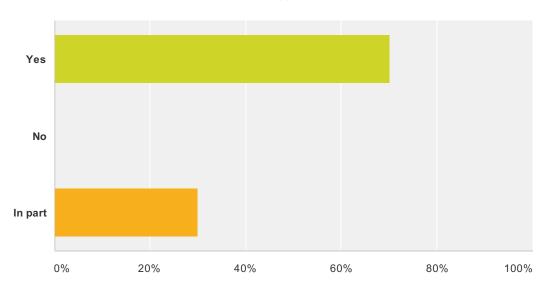
Answered: 10 Skipped: 0



Answer Choices	Responses
Yes	20% 2
No	30% 3
In part	50% 5
Total	10

Q69 Electronic access is used wherever possible

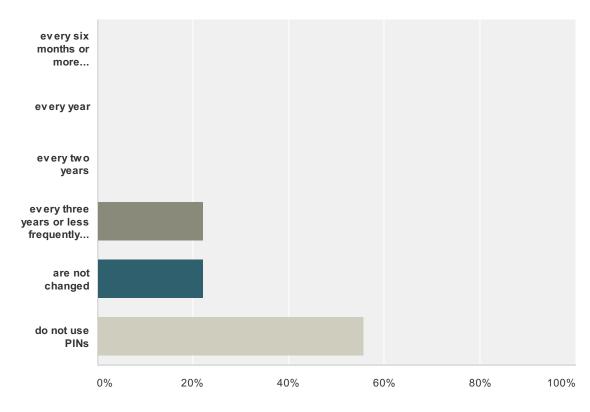




Answer Choices	Responses
Yes	70% 7
No	0%
In part	30% 3
Total	10

Q70 If you use electronic access the PINs are changed:

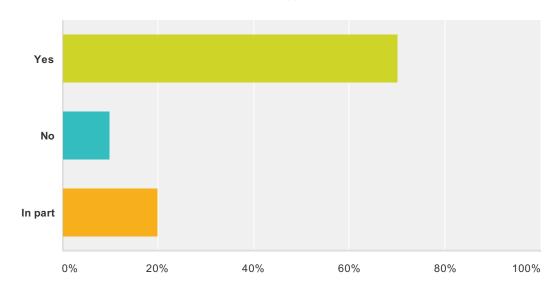




Answer Choices	Responses	
every six months or more frequently	0%	0
every year	0%	0
every two years	0%	0
every three years or less frequently than this	22.22%	2
are not changed	22.22%	2
do not use PINs	55.56%	5
Total		9

Q71 A designated member of staff is able to reach your institution within 20 minutes if there is a security incident when the building is closed.

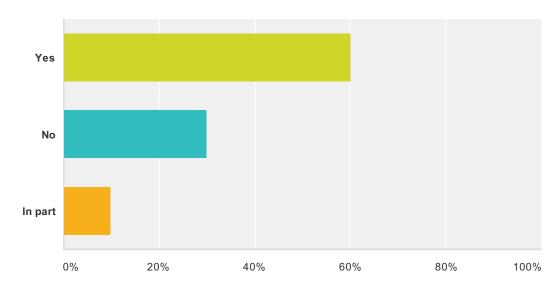




Answer Choices	Responses
Yes	70% 7
No	10% 1
In part	20% 2
Total	10

Q72 All new staff and agency workers must undergo a police check prior to employment

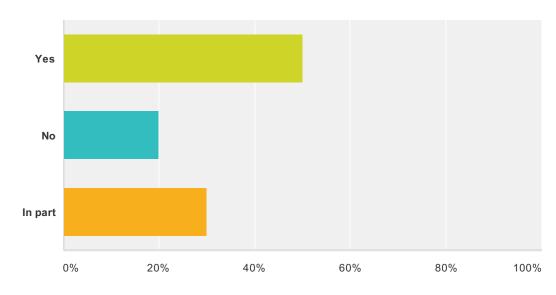
Answered: 10 Skipped: 0



Answer Choices	Responses
Yes	60% 6
No	30% 3
In part	10% 1
Total	10

Q73 Information on your Collection Security Policy is given to all new staff as part of their induction training

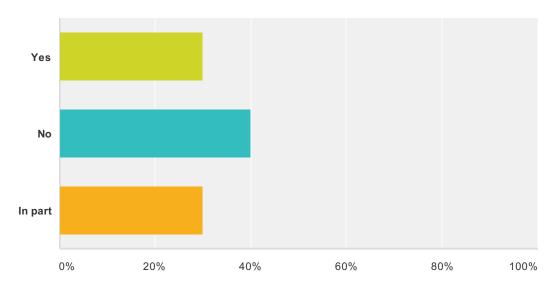
Answered: 10 Skipped: 0



Answer Choices	Responses
Yes	50% 5
No	20% 2
In part	30% 3
Total	10

Q74 Awareness training is delivered regularly to staff to ensure that they remain aware of their responsibility for collection security

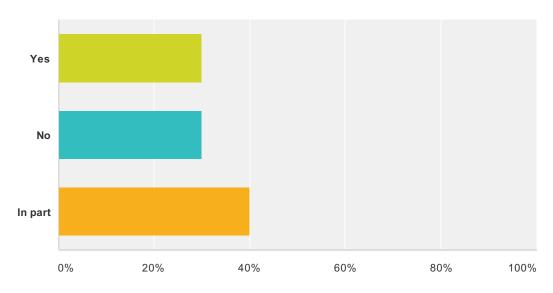




Answer Choices	Responses
Yes	30% 3
No	40% 4
In part	30% 3
Total	10

Q75 If legally permitted to do so, you permanently keep a complete record allowing you to identify the items used by Individual members of staff, and staff who work in a processing department

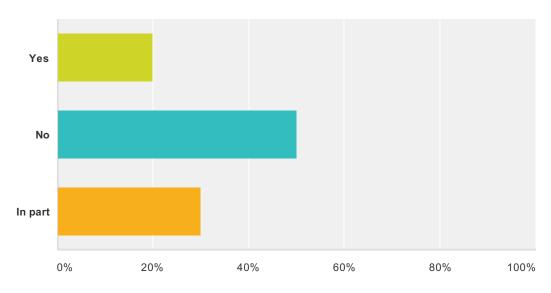




Answer Choices	Responses
Yes	30% 3
No	30% 3
In part	40% 4
Total	10

Q76 Staff and departments who use collection items are audited regularly to assess their compliance with your collection security policy

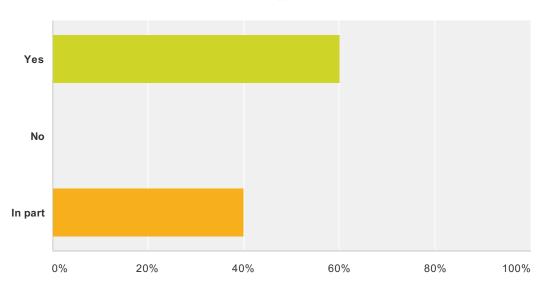




Answer Choices	Responses
Yes	20% 2
No	50% 5
In part	30% 3
Total	10

Q77 Staff at all levels of your institution comply with your collection security policy

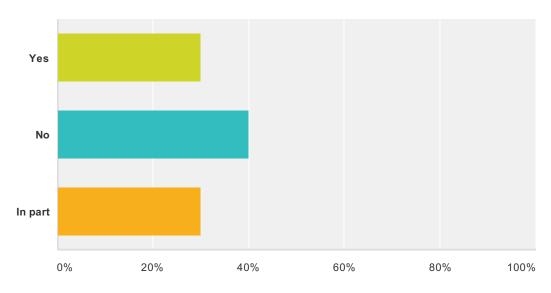




Answer Choices	Responses
Yes	60% 6
No	0% 0
In part	40% 4
Total	10

Q78 Staff whose work involves contact with the collection have their collection security responsibilities included in their job descriptions / role profiles

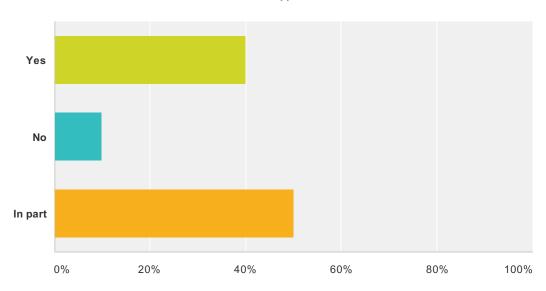




Answer Choices	Responses
Yes	30% 3
No	40% 4
In part	30% 3
Total	10

Q79 Staff comply with recognised professional codes where appropriate

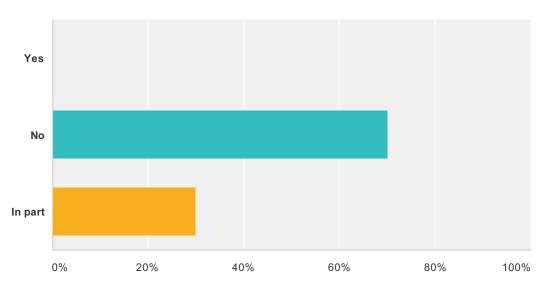




Answer Choices	Responses
Yes	40% 4
No	10% 1
In part	50% 5
Total	10

Q80 Staff belongings are inspected as they leave your building(s)

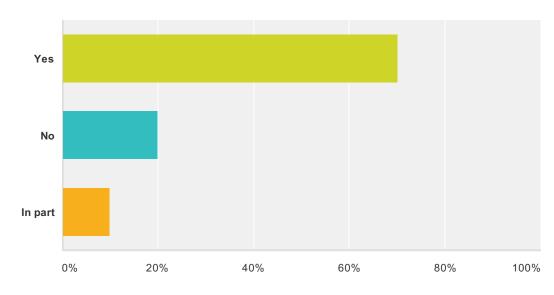




Answer Choices	Responses
Yes	0% 0
No	70% 7
In part	30% 3
Total	10

Q81 Staff and visitors to offices and storage areas must be recognisable as such by wearing an ID card

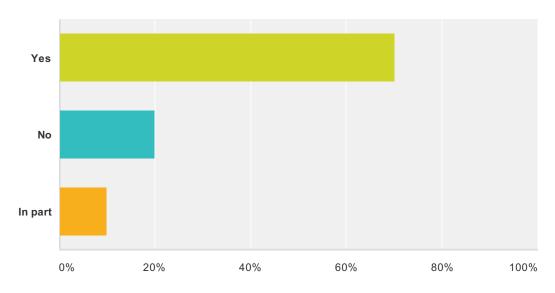
Answered: 10 Skipped: 0



Answer Choices	Responses
Yes	70% 7
No	20% 2
In part	10%
Total	10

Q82 Staff must use the agreed retrieval procedure when they need to use items (i.e. staff do not retrieve items for their own use)

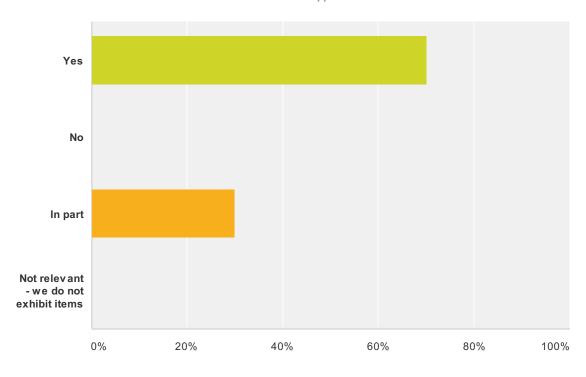




Answer Choices	Responses
Yes	70% 7
No	20% 2
In part	10% 1
Total	10

Q83 You carry out condition reports on collection items before and after they are exhibited; this includes a photographic record for items loaned to other institutions.

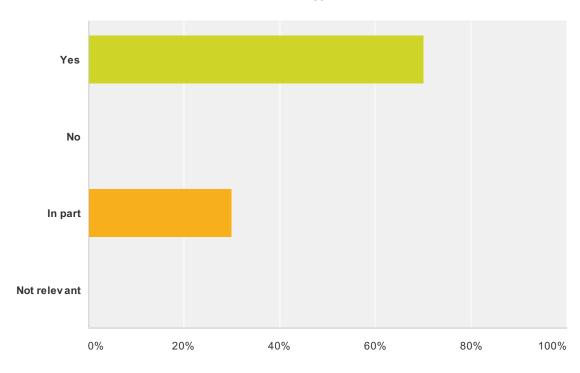




Answer Choices	Responses	
Yes	70%	7
No	0%	0
In part	30%	3
Not relevant - we do not exhibit items	0%	0
Total		10

Q84 You display collection items in secure, alarmed cases; glass should comply with your relevant national security standard

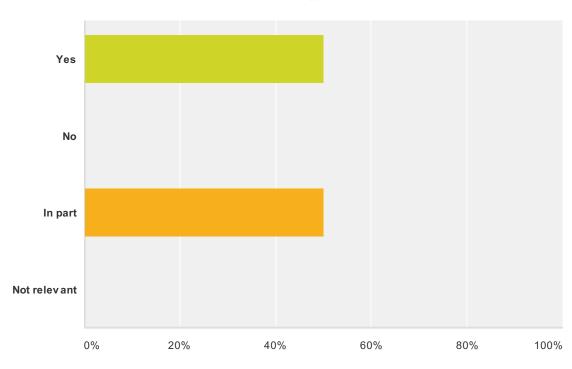




Answer Choices	Responses
Yes	70% 7
No	0%
In part	30% 3
Not relevant	0%
Total	10

Q85 Exhibition areas are patrolled by staff during open hours

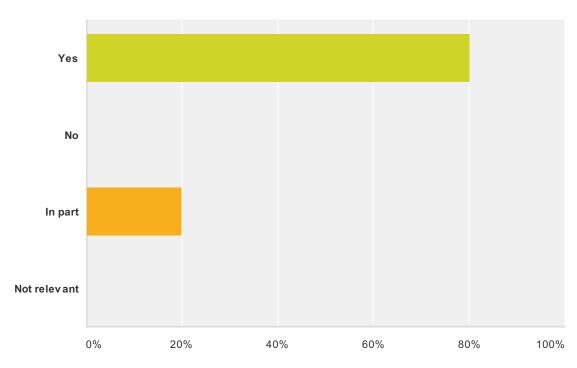




Answer Choices	Responses
Yes	50% 5
No	0%
In part	50% 5
Not relevant	0%
Total	10

Q86 The condiion of displayed items are checked regularly while on display

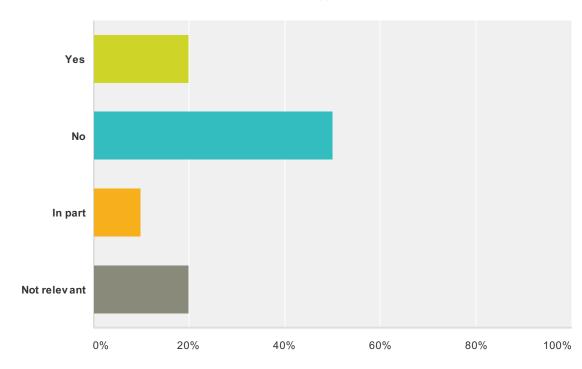




Answer Choices	Responses
Yes	80% 8
No	0%
In part	20% 2
Not relevant	0%
Total	10

Q87 The condition of high level displayed items is checked daily

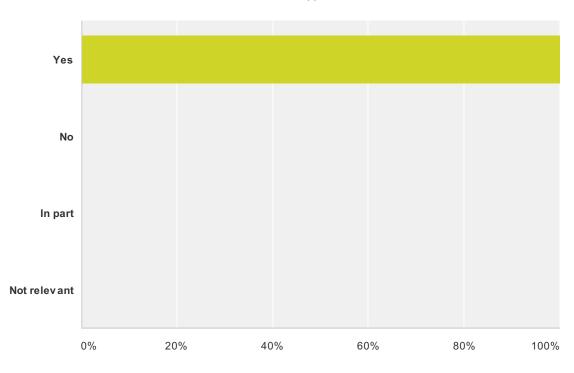




Answer Choices	Responses
Yes	20% 2
No	50% 5
In part	10% 1
Not relevant	20% 2
Total	10

Q88 The condition of displayed items is checked before (during) and after exposure.

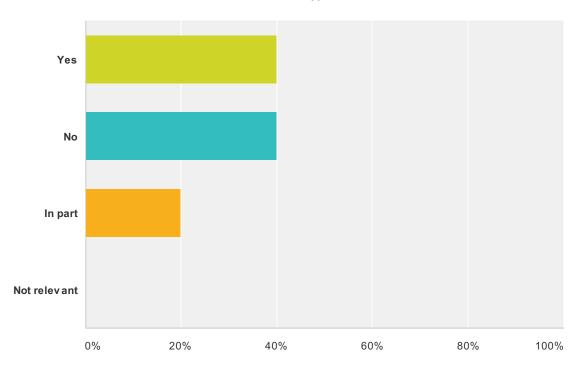




Answer Choices	Responses
Yes	100% 10
No	0% 0
In part	0% 0
Not relevant	0% 0
Total	10

Q89 You provide training to staff who act as couriers when taking items to other institutions

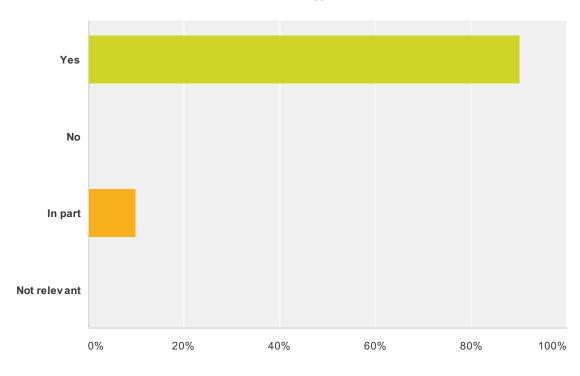




Answer Choices	Responses
Yes	40% 4
No	40% 4
In part	20% 2
Not relevant	0%
Total	10

Q90 You require all request for loans to be accompanied by a facility report on the borrowing institution.

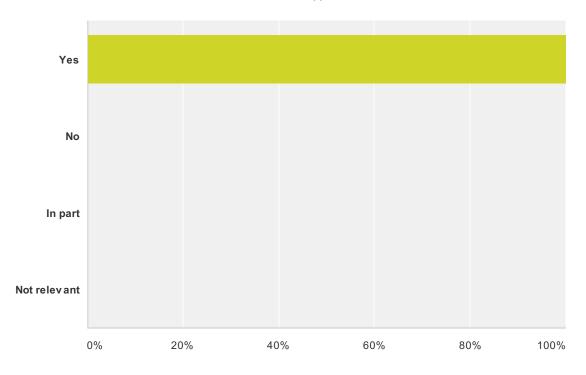




Answer Choices	Responses
Yes	90% 9
No	0% 0
In part	10% 1
Not relevant	0%
Total	10

Q91 You agree formal, legally binding loan contracts with institutions to which you lend items; these specify the conditions under which the item will be lent and exhibited.

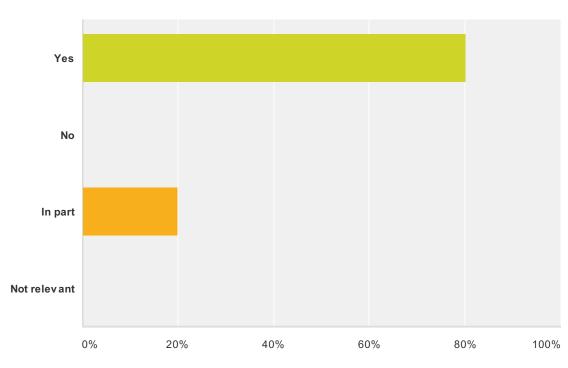




Answer Choices	Responses	
Yes	100%	10
No	0%	0
In part	0%	0
Not relevant	0%	0
Total		10

Q92 A financial valuation is prepared for all exhibited items

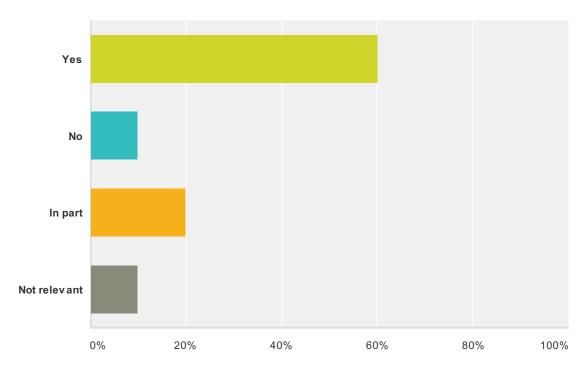




Answer Choices	Responses
Yes	80% 8
No	0%
In part	20% 2
Not relevant	0%
Total	10

Q93 Items are insured when exhibited at another institution

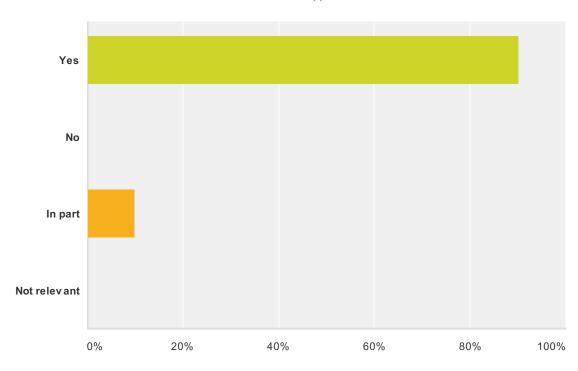




Answer Choices	Responses
Yes	60% 6
No	10% 1
In part	20% 2
Not relevant	10 % 1
Total	10

Q94 You have staff with specialist training in packing collection items

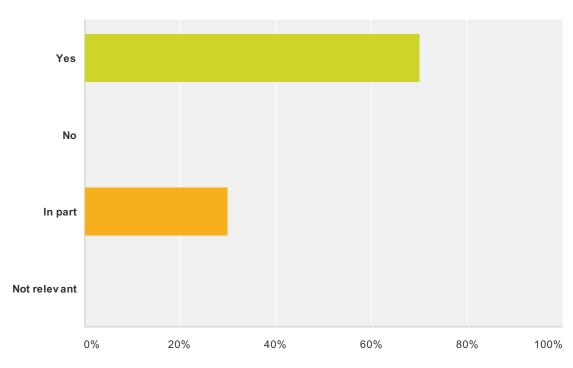




Answer Choices	Responses
Yes	90% 9
No	0%
In part	10%
Not relevant	0%
Total	10

Q95 You use specialist removal contractors to transport items

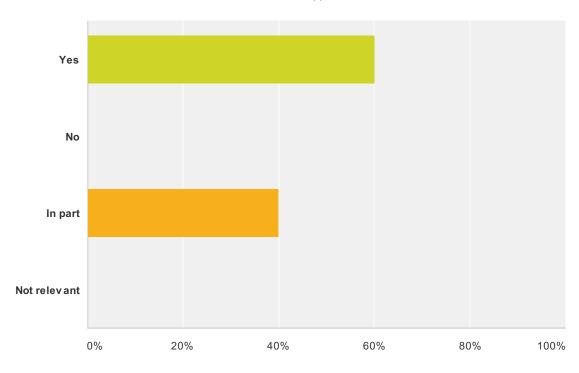




Answer Choices	Responses
Yes	70% 7
No	0%
In part	30% 3
Not relevant	0%
Total	10

Q96 Collection items transported to and from exhibtions are accompanied by a courier

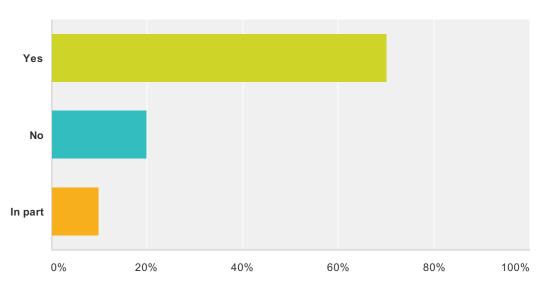




Answer Choices	Responses
Yes	60% 6
No	0%
In part	40% 4
Not relevant	0%
Total	10

Q97 You have an agreed procedure for the transport of items within your building

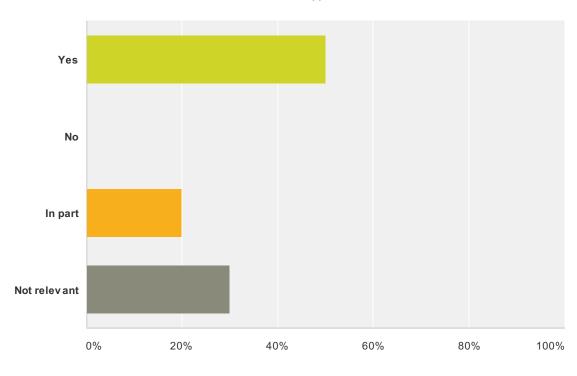




Answer Choices	Responses
Yes	70% 7
No	20% 2
In part	10% 1
Total	10

Q98 You have an agreed procedure for the transport of items between your buildings (if relevant)

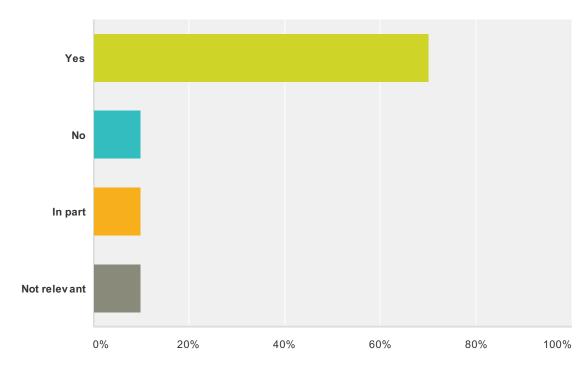




Answer Choices	Responses
Yes	50% 5
No	0%
In part	20% 2
Not relevant	30% 3
Total	10

Q99 You have an agreed procedure for carrying out large scale book moves





Answer Choices	Responses
Yes	70% 7
No	10% 1
In part	10% 1
Not relevant	10% 1
Total	10